



10/644558

COFC

Docket No.: 84696US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Ivan Stanish et al.

Patent No.: 6,994,934

Issued: February 7, 2006

For: NANOBATTERIES DERIVED FROM BIO-
INSPIRED MATERIALS AND PRINCIPLES

Certificate
MAR 06 2006
of Correction

**REQUEST FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 CFR 1.322**

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Upon reviewing the above-identified patent, Patentee noted a typographical error which should be corrected.

On the title page:

Daniel A. Lowy is missing from (75) Inventors.

Attached is a copy of a Request for Correction of Inventorship filed on 09/27/2004 to add Daniel A. Lowy. The error was a USPTO error; accordingly no fee is required. However, in the event that a fee is required, please charge the fee to Deposit Account No. 50-0281, and in the event that there is a credit due, please credit Deposit Account No. 50-0281.

Transmitted herewith is a proposed Certificate of Correction effecting such

MAR 7 2006

MAR 7 2006

amendment. Patentee respectfully solicits the granting of the requested Certificate of Correction.

Dated: March 2, 2006

Respectfully submitted,

By 

Joseph T. Grunkemeyer

Registration No.: 46,746

US NAVAL RESEARCH LABORATORY

4555 Overlook Ave, SW

Washington, DC 20375

(202) 767-3427

Attorney for Applicant

MAR 2006

FILED

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 6,994,934
APPLICATION NO. : 10/644558
ISSUE DATE : February 7, 2006
INVENTOR(S) : Ivan Stanish et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In (75) Inventors, add:

Daniel A. Lowy, Woodbridge, VA (US)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Joseph T. Grunkemeyer
US NAVAL RESEARCH LABORATORY, Code 1008.2
4555 Overlook Ave, SW
Washington, DC 20375

MAR 7 2006

Auto-Reply Facsimile Transmission



TO:

Fax Sender at 202 404 7380

Fax Information

Date Received:

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Total Pages:

9 (including cover page)

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Received
Cover
Page

=====>

09/27/04 MON 14:59 FAX 202 404 7380

NRL CODE 1008.2 PATENTS

0001



Fax transmission from:

U.S. Naval Research Laboratory
Associate Counsel Intellectual Property, Code 1008.2
4555 Overlook Avenue, SW
Washington, DC 20375-5325

From: Joe Grunkemeyer

Phone: (202) 404-1556

Fax: (202) 404-7380

Date: 09/27/2004

To: Examiner Will, Monique M.

Art Unit 1746

Fax: (703) 872-9306

Cover Sheet + 8 Pages

Message:

RE: Patent Application No.: 10/644,558

Filed: 08/19/2003

Inventor: Stanish et al

Docket No.: NC 84,696

Fee Transmittal - 1 page

Request to Correct Inventorship - 1 page

Declaration - 3 pages

Statement of Lack of Deceptive Intent - 1 page

Consent of Assignee - 1 page

Statement under 37 CFR 3.73(h) - 1 page

The information contained in this facsimile may be client confidential, and may also be attorney privileged. The information is intended only for the use of the individual or entity to whom it is addressed. If you are not the intended recipient, you are hereby notified that any use, distribution, or copying of this transmission is prohibited. If you have received this facsimile in error, please notify us immediately by telephone for instructions. Thank you.

MAR 7 2005

**Fax transmission from:**

U.S. Naval Research Laboratory
Associate Counsel Intellectual Property, Code 1008.2
4555 Overlook Avenue, SW
Washington, DC 20375-5325

From: Joe Grunkemeyer

Phone: (202) 404-1556

Fax: (202) 404-7380

Date: 09/27/2004**To:** Examiner Will, Monique M.

Art Unit 1746

Fax: (703) 872-9306

Cover Sheet + 8 Pages**Message:**

RE: Patent Application No.: 10/644,558

Filed: 08/19/2003

Inventor: Stanish et al

Docket No.: NC 84,696

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Statement under 37 CFR 3.73(b) – 1 page

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MAD 7 2006

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

Application Number	10/644,558
Filing Date	08/19/2003
First Named Inventor	Stanish
Examiner Name	Will, Monique M.
Art Unit	1746
Attorney Docket No.	NC 84,696

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

50-0281

Naval Research Laboratory

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		-20** =		X		=	
Independent Claims		-3** =		X		=	
Multiple Dependent						=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) <u>Correction of Inventorship</u>					130
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$) 130

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Joseph T Grunkemeyer	Registration No. (Attorney/Agent)	46,746	Telephone	202-404-1556
Signature		Date	9/27/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MAR 7 2006
MAR 7 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
For: BIOBASED MICROBATTERY
Examiner: Will, Monique M.
Art Group Unit: 1746

Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

September 27, 2004

REQUEST TO CORRECT INVENTORSHIP

Sir:

This request is submitted pursuant to 37 CFR 1.48(a) to correct the inventorship of this patent application. An inventor was mistakenly omitted from the Declaration. Please add Daniel A. Lowy of Woodbridge, Virginia as an inventor. The error occurred without deceptive intent. Attached are a statement of lack of deceptive intent from Daniel A. Lowy, a new Declaration, Consent of the Assignee, including a Statement under 37 CFR 3.73(b), and Fee Transmittal.

In the event that a fee is required, please charge the fee to Deposit Account No. 50-0281, and in the event that there is a credit due, please credit Deposit Account No. 50-0281.

Respectfully submitted,



Joseph T. Grunkemeyer
Reg. No. 46,746
Phone No. 202-404-1556
Office of the Associate Counsel
(Patents), Code 1008.2
Naval Research Laboratory
4555 Overlook Ave, SW
Washington, DC 20375-5325

CERTIFICATE OF FACSIMILE TRANSMISSION

I certify that this correspondence is being facsimile transmitted to the US Patent and Trademark Office on the date shown below.

9/27/04
Date

Joseph T. Grunkemeyer
Joseph T. Grunkemeyer

MAR 7 2005
MAR 7 2005
MAR 7 2005

OATH AND DECLARATION

NAVY CASE 24,096
PAGE 1 OF 1

As a below named inventor, I hereby declare that: My residence, past office address and citizenship are as stated below next to my name. I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: "BIORASED MICROBATTERY", the specification of which is attached hereto.

I hereby state that I have reviewed and understood the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim priority benefits under 35 United States Code Section 119 (e) for any provisional application filed below and have also identified below the priority date of filing of the provisional application which is before the filing date of the application for which this priority is claimed.

Prior Provisional Applications

Priority Claimed

Yes No

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign applications for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of this application on which priority is claimed:

Number	Country	Filing Date	Priority (Yes/No)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Appl. Serial No.	U.S. Filing Date	Status (patented/pending/abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the registered practitioners in the office of Associate Counsel, Intellectual Property for Naval Research Laboratory, Washington DC associated with Customer Number 28384 to prosecute this application and to conduct all business in the Patent and Trademark Office connected therewith, and hereby certify that the Government of the United States has the irrevocable right to prosecute this application. Direct all correspondence to that Customer Number.

SEND CORRESPONDENCE TO:
Associate Counsel (Patent), Code 1000.2
Naval Research Laboratory
Washington, D.C. 20375-5000

DIRECT TELEPHONE CALLS TO:
Attorney Name: Joseph T. Grunke
Reg. No. 46,746
(202) 404-1556

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF JOINT INVENTOR 1: Ivan Stankish

INVENTOR'S SIGNATURE: 

DATE: 9/22/04

RESIDENCE: Alexandria, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 800 E. Washington Street, Apt. 304, Alexandria, VA 22314

FULL NAME OF JOINT INVENTOR 2: Alan Stagh

INVENTOR'S SIGNATURE: _____

DATE: _____

RESIDENCE: Springfield, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 8403 Harland Drive, Springfield, VA 22152

FULL NAME OF JOINT INVENTOR 3: Daniel A. Levy

INVENTOR'S SIGNATURE: 

DATE: 9/22/04

RESIDENCE: Woodbridge, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 13255 Raville Loop, Woodbridge, VA 22191

OATH AND DECLARATION

NAVY CASE 84,696

PAGE 1 OF 1

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: "BIOBASED MICROBATTERY", the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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Prior Provisional Applications

Priority Claimed

Yes No

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U.S. Appl. Serial No.	U.S. Filing Date	Status (patented/pending/abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the registered practitioners in the office of Associate Counsel, Intellectual Property for Naval Research Laboratory, Washington DC associated with Customer Number 26384 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and hereby certify that the Government of the United States has the irrevocable right to prosecute this application. Direct all correspondence to that Customer Number.

SEND CORRESPONDENCE TO:
Associate Counsel (Patents), Code 1008.2
Naval Research Laboratory
Washington, D.C. 20375-5000

DIRECT TELEPHONE CALLS TO:
Attorney Name: Joseph T. Grunkemeyer
Reg. No. 46,746
(202) 404-1556

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF JOINT INVENTOR 1: Ivan Stanish

INVENTOR'S SIGNATURE: _____ DATE: _____

RESIDENCE: Alexandria, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 800 S. Washington Street, Apt. 304, Alexandria, VA 22314

FULL NAME OF JOINT INVENTOR 2: Alok Singh

INVENTOR'S SIGNATURE: A. Singh DATE: 9/22/04

RESIDENCE: Springfield, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 8403 Harland Drive, Springfield, VA 22152

FULL NAME OF JOINT INVENTOR 3: Daniel A. Lowy

INVENTOR'S SIGNATURE: [Signature] DATE: 9/22/04

RESIDENCE: Woodbridge, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 13255 Revillo Loop, Woodbridge, VA 22191

WAR

OATH AND DECLARATION

NAVY CASE 84,696
PAGE 1 OF 1

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: "BIOBASED MICROBATTERY", the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim priority benefits under 35 United States Code Section 119 (e) for any provisional application listed below and have also identified below the priority date of filing of the provisional application which is before the filing date of the application for which this priority is claimed.

Prior Provisional Applications

Priority Claimed

Yes	No
-----	----

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign applications for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

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U.S. Appl. Serial No.	U.S. Filing Date	Status (patented/pending/abandoned)

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SEND CORRESPONDENCE TO:

Associate Counsel (Patents), Code 1008.2
Naval Research Laboratory
Washington, D.C. 20375-5000

DIRECT TELEPHONE CALLS TO:

Attorney Name: Joseph T. Grunkemeyer
Reg. No. 46,746
(202) 404-1556

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF JOINT INVENTOR 1: Ivan Stanish

INVENTOR'S SIGNATURE: _____ DATE: _____

RESIDENCE: Alexandria, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 800 S. Washington Street, Apt. 304, Alexandria, VA 22314

FULL NAME OF JOINT INVENTOR 2: Alok Singh

INVENTOR'S SIGNATURE: _____ DATE: _____

RESIDENCE: Springfield, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 8403 Harland Drive, Springfield, VA 22152

FULL NAME OF JOINT INVENTOR 3: Daniel A. Lowy

INVENTOR'S SIGNATURE:  DATE: 9/22/04

RESIDENCE: Woodbridge, VA

CITIZENSHIP: USA

POST OFFICE ADDRESS: 13255 Revillo Loop, Woodbridge, VA 22191

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
For: BIOBASED MICROBATTERY
Examiner: Will, Monique M.
Art Group Unit: 1746

Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

STATEMENT OF LACK OF DECEPTIVE INTENT BY DANIEL A. LOWY

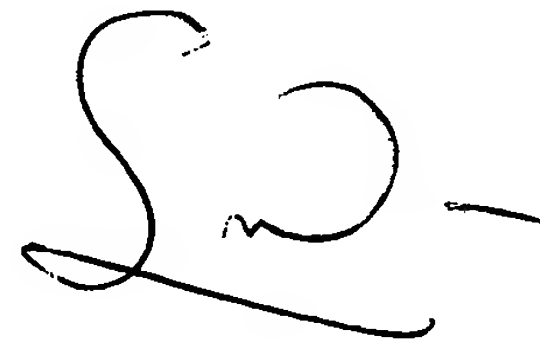
Sir:

My name was omitted from the inventorship of the above-referenced patent application.
The error occurred without any deceptive intent on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

9/22/04

Date



Daniel A. Lowy

PATENT APPLICATION
Docket No.: NC 84,696

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Stanish et al.
Serial No.: 10/644,558
Filed: 08/19/2003
For: BIOBASED MICROBATTERY
Examiner: Will, Monique M.
Art Group Unit: 1746

Honorable Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE TO CHANGE IN INVENTORSHIP

Sir:

The United States Government as represented by the Secretary of the Navy, as the assignee of the above-reference patent application, consents to the addition of Daniel A. Lowy to the inventorship of this patent application. A Statement under 37 CFR 3.73(b) is attached. The below-signed is authorized to act on behalf of the assignee.

27 Sept 2004
Date

Thomas E. McDonnell
Thomas E. McDonnell
Patent Counsel of the Navy

PTO/SB/98 (08-03)

Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: United States Government as represented by the Secretary of the NavyApplication No./Patent No.: 10/644,558 Filed/Issue Date: 08/19/2003Entitled: BIOBASED MICROBATTERYUnited States Government - Navy

(Name of Assignee)

a government agency

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014188, Frame 0985, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

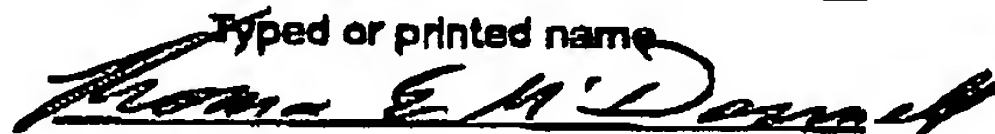
Date

703-696-4000

Telephone number

Thomas E. McDonnell

Typed or printed name



Signature

Patent Counsel of the Navy

Title

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